Express Mail Label No.: EV058280715US

Date of Deposit: July 31, 2002

Attorney Docket No.: 21402-099 (CURA-399)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Burgess et al.

SERIAL NUMBER:

09/939,853

EXAMINER:

Not Yet Assigned

FILING DATE:

August 27, 2001

ART UNIT:

1646

For:

NOVEL PROTEINS AND NUCLEIC ACIDS ENCODING SAME

U.S. Patent and Trademark Office

Box SEQUENCE

P.O. Box 2327

Arlington, VA 22202

TRANSMITTAL LETTER

Enclosed herewith are the following for the above-identified non-provisional patent application:

- Preliminary Amendment and Response to Notice to Comply (1 pg); 1.
- Copy of Notice to Comply (1 pg); 2.
- Substitute Paper Copy of the Sequence Listing (172 pgs); 3.
- Substitute Computer Readable Form Copy of the Sequence Listing (1 diskette); 4.
- Statement in Support of Substitute Computer Readable Form (1 pg); and, 5.
- Return postcard. 6.

The Commissioner is hereby authorized to charge any fee that may be due, or to credit any overpayment, to Deposit Account No. 50-0311, Attorney Reference No. 21402-099 (CURA-399). A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Attorneys for Applicants c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Date: July 31, 2002

TRA 1695371v1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NUMBER

HILING RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER.

09/939,853

08/27/2001

Catherine E. Burgess

21402-099 (CURA-399)

Ivor R. Elrifi MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C. One Financial Center Boston, MA 02111



CONFIRMATION NO. 3236

FORMALITIES LETTER



Date Mailed: 06/11/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE **DISCLOSURES**

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a)

• A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE